

OPTIMA endovascular sequestration of high-risk carotid plaque using the **C**Guard™ MicroNET–covered embolic prevention stent system in consecutive patients with symptoms or signs of carotid stenosis-related brain injury:  
An intravascular ultrasound – controlled investigator-initiated multicentric multi-specialty study

**C**Guard™ **OPTIMA**

P. Musialek  
on behalf of OPTIMA Investigators  
(list follows)

# Disclosure

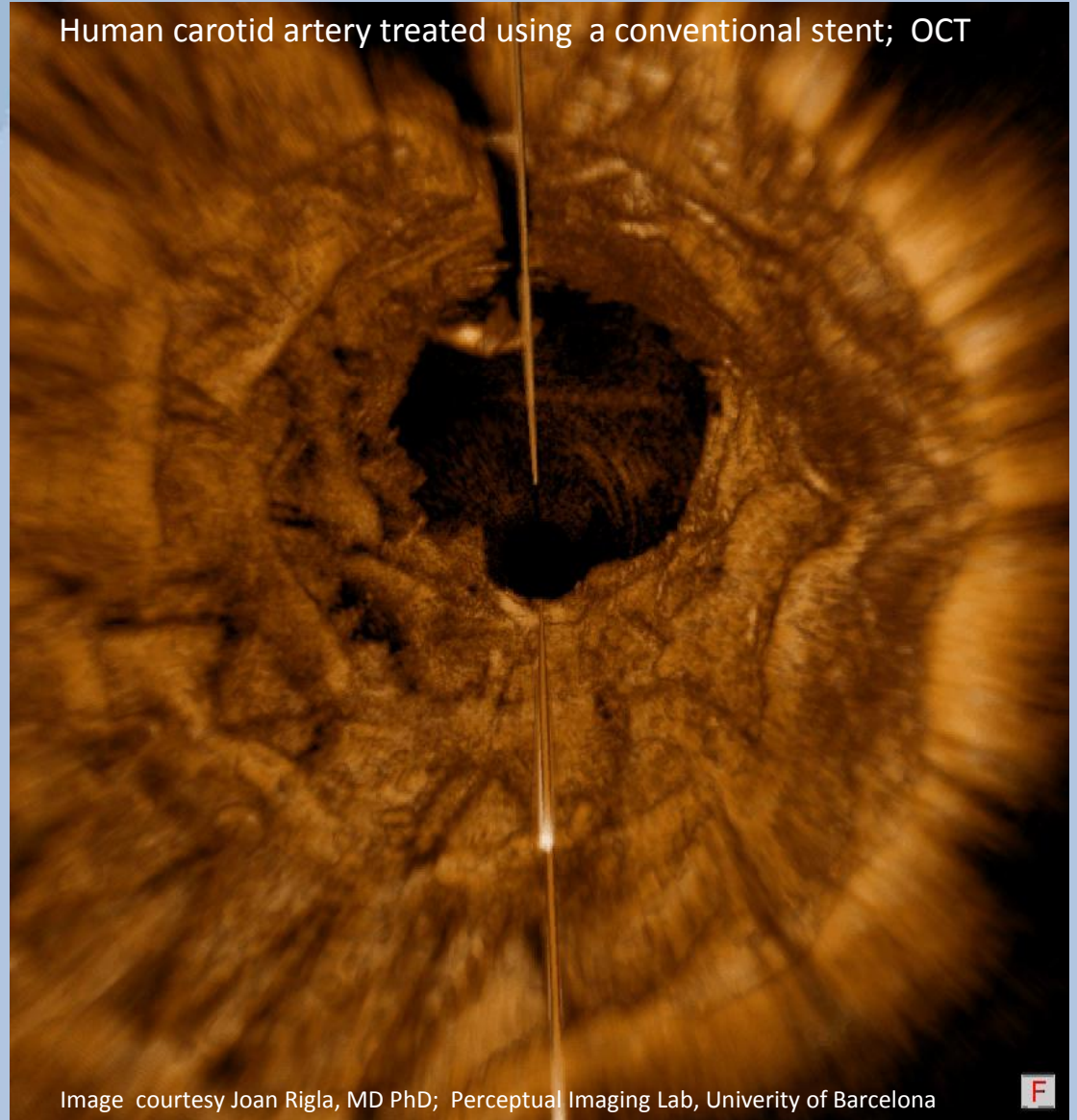
Speaker name: Piotr Musialek

I have the following potential conflicts of interest to report:

- ☒ Consulting
- ☐ Employment in industry
- ☐ Stockholder of a healthcare company
- ☐ Owner of a healthcare company
- ☐ Other(s)
  
- ☐ I do not have any potential conflict of interest



# The Problem





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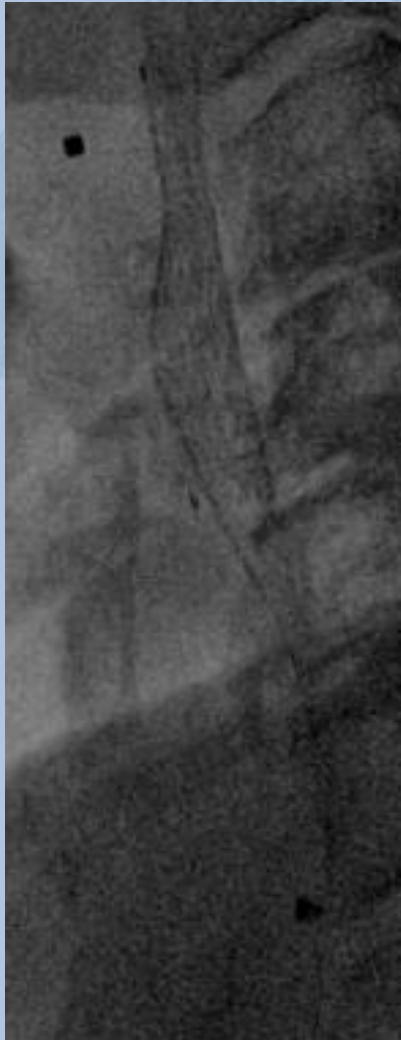
Human carotid artery treated using a conventional stent; OCT

This translates into post-procedural  
minor strokes  
during the stent healing ( $\approx 30$ days)

(CREST, CAPTURE, ICSS)

$\approx 50\%$  30d-strokes are post-procedural

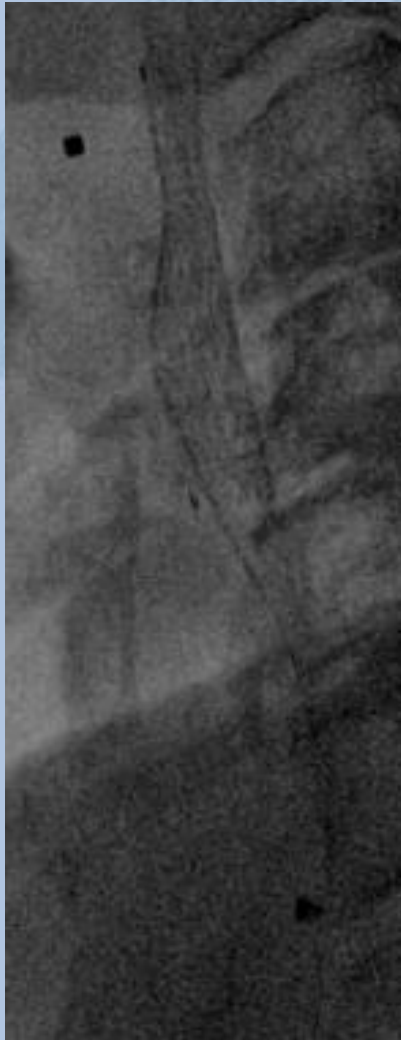
conventional best-in-class  
**Open-cell stent**



conventional best-in-class  
**Closed-cell stent**



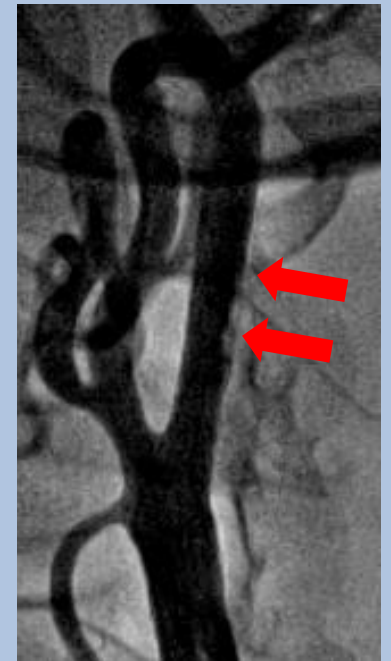
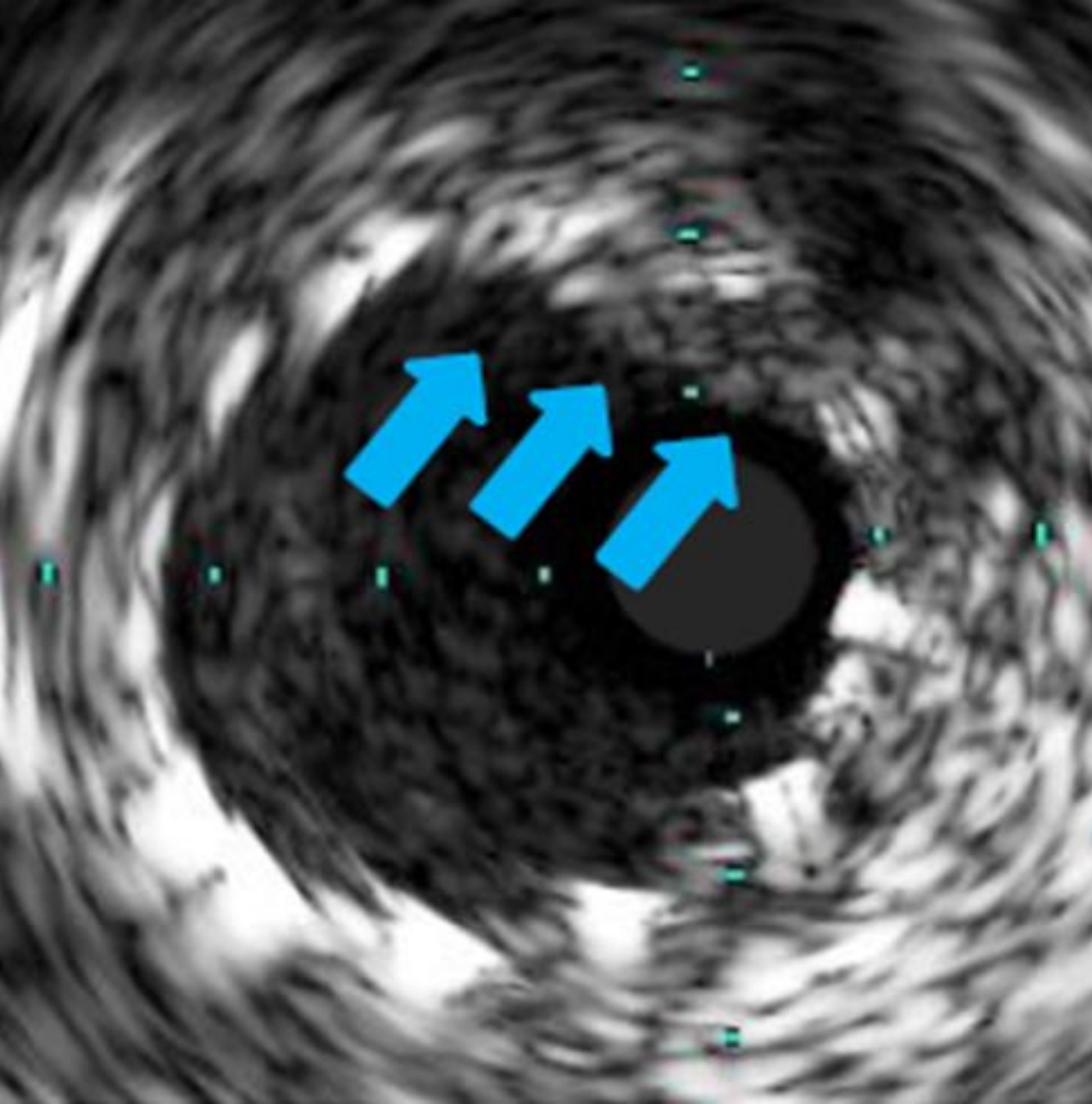
conventional best-in-class  
**Open-cell stent**



conventional best-in-class  
**Closed-cell stent**



**1 best-in-class  
cell stent**





# Carotid Artery Stenting

## Investigation of Plaque Protrusion Incidence and Prognosis

Masashi Kotsugi, MD,<sup>a</sup> Katsutoshi Takayama, MD,<sup>b</sup> Kaoru Myouchin, MD,<sup>b</sup> Takeshi Wada, MD,<sup>c</sup> Ichiro Nakagawa, MD,<sup>d</sup> Hiroyuki Nakagawa, MD,<sup>c</sup> Toshiaki Taoka, MD,<sup>c</sup> Shinichiro Kurokawa, MD,<sup>a</sup> Hiroyuki Nakase, MD,<sup>d</sup> Kimihiko Kichikawa, MD<sup>c</sup>

**METHODS** A total of 354 consecutive carotid atherosclerotic stenoses in 328 patients (285 men, 43 women; age range 51 to 97 years [mean age 73.6 years]; 158 symptomatic cases; stenosis rate, 50% to 99% [mean 81.0%]) who underwent CAS under IVUS between October 2007 and March 2016 were retrospectively analyzed. PP was defined as plaque seen inside the stent lumen on both digital subtraction angiography and IVUS. The incidence and prognosis (rate of stroke within 30 post-operative days) of PP and the rate of ischemic lesions on the treated side on diffusion-weighted imaging performed within 48 post-operative hours within the PP group were investigated.

**RESULTS** PP was observed in 9 cases (2.6%). Ischemic stroke occurred in 6 of 9 PP cases (66.7%; 1 major, 5 minor). Ischemic lesions were observed on diffusion-weighted imaging in 8 of 9 cases (88.9%). PP was strongly associated with perioperative ischemic stroke. A significant increase in PP susceptibility was observed with open-cell stent use and unstable plaque.

**CONCLUSIONS** The incidence of PP in CAS was 2.6%, with a high risk of ischemic complications if PP was observed. The present findings indicate the necessity of appropriate device selection to avoid PP.

(J Am Coll Cardiol Interv 2017;10:824-31) © 2017 by the American College of Cardiology Foundation.

# Carotid Artery Stenting

## Investigation of Plaque Protrusion Incidence and Prognosis

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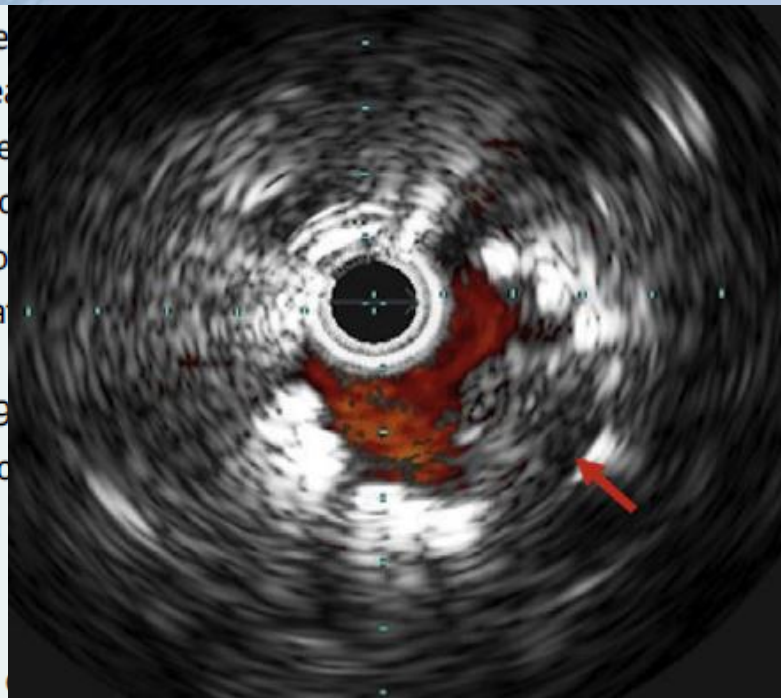
**METHODS** A total of 354 consecutive patients (285 men, 43 women; age range 51 to 97 years [mean age 73.6 years]) who underwent CAS under IVUS between October 2010 and October 2014 were included. PP was defined as plaque seen inside the stent lumen on both of the proximal and distal views within 30 post-operative days) of the procedure. PP was observed in 9 cases (66.7%; 1 major, 5 minor). Ischemic lesions were observed on diffusion-weighted imaging (DWI) in 9 cases (66.7%; 1 major, 5 minor). PP was strongly associated with perioperative ischemic stroke. A total of 9 cases (66.7%; 1 major, 5 minor). PP was strongly associated with unstable plaque.

**RESULTS** PP was observed in 9 cases (66.7%; 1 major, 5 minor). Ischemic lesions were observed on diffusion-weighted imaging (DWI) in 9 cases (66.7%; 1 major, 5 minor). PP was strongly associated with perioperative ischemic stroke. A total of 9 cases (66.7%; 1 major, 5 minor). PP was strongly associated with unstable plaque.

**CONCLUSIONS** The incidence of PP was 66.7% (9/14) in this study. PP was strongly associated with perioperative ischemic stroke. A total of 9 cases (66.7%; 1 major, 5 minor). PP was strongly associated with unstable plaque.

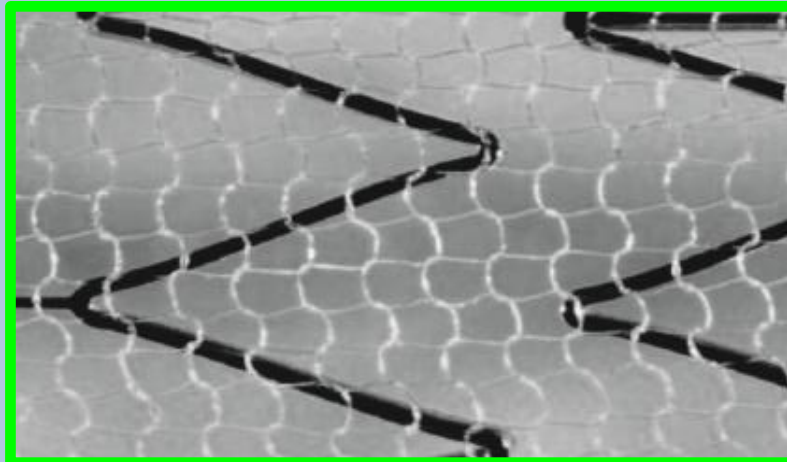
The present findings indicate the necessity of appropriate device selection to avoid PP.

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- CEA excludes the plaque
- In CAS, the stent should exclude the plaque too

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- In CAS, the stent should exclude the plaque too



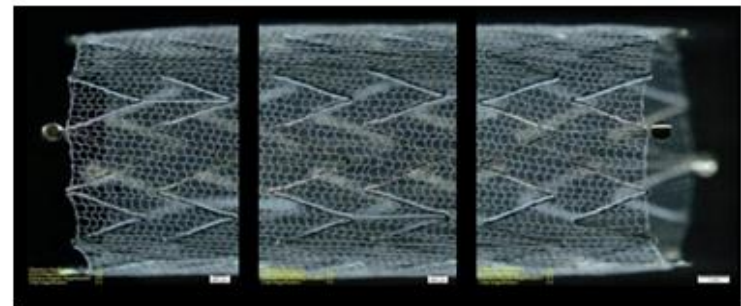


# CGuard™ – Carotid Embolic **Prevention** System

System specifications	
Stent type	Nitinol – self expanding
Micronet aperture size	150-180 $\mu\text{m}$
Guidewire	0.014"
Sizes	
- Diameter	6-10mm
- Length	20-60mm



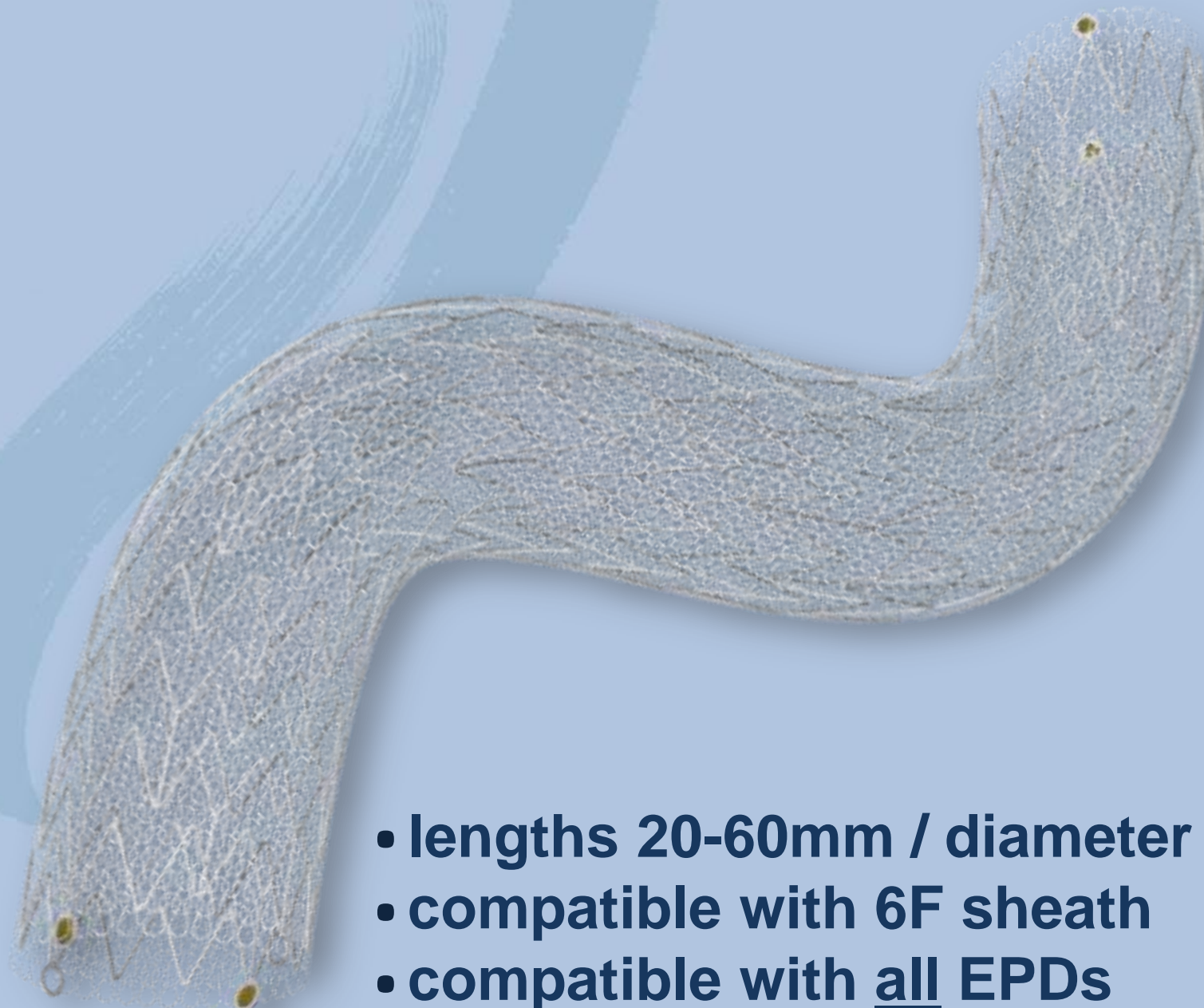
CE Mark – March 2014



- ➡ Nitinol frame open-cell area  $\approx 21 \text{ mm}$
- ➡ MicroNet closed-cell area  $\approx 0.3 \text{ mm}$

**LARGEST**  
**SMALLEST**





- lengths 20-60mm / diameter 6-10mm
- compatible with 6F sheath
- compatible with all EPDs  
(MoMa, EnRouteFR, all filter types)

# Investigator-Initiated, academic, non-commercial study

Condition or disease ⓘ	Intervention/treatment ⓘ
Carotid Artery Diseases	Diagnostic Test: Intravascular Ultrasound (IVUS) of Carotid Artery after implantation of CGuard stent

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Condition or disease ⓘ	Intervention/treatment ⓘ
Carotid Artery Diseases	Diagnostic Test: Intravascular Ultrasound (IVUS) of Carotid Artery after implantation of CGuard stent

### CGuard™ OPTIMA – Protocol Synopsis

Title:	<b><u>OPTIMA</u></b> endovascular exclusion of high-risk carotid plaque using the CGuard™ MicroNET–covered embolic prevention stent system in consecutive patients with symptoms or signs of carotid stenosis-related brain injury: An intravascular ultrasound – controlled investigator initiated multicentric multi-specialty study.
Short title:	CGuard™ <b>OPTIMA</b>
Study device description	CGuard™ MicroNET–covered embolic prevention stent system is a self-expandable laser-cut nitinol stent wrapped in a single-fiber knitted PET-MicroNet sleeve.
Study design	Prospective, multicentric, multispecialty, international, open-label, non-randomized study using per-protocol intravascular ultrasound [IVUS, 20MHz electronic phase-array transducer] <u>to document the procedure result of an effective plaque exclusion from the vessel lumen.</u>



# Investigator-Initiated, academic, non-commercial study

Condition or disease ⓘ	Intervention/treatment ⓘ
Carotid Artery Diseases	Diagnostic Test: Intravascular Ultrasound (IVUS) of Carotid Artery after implantation of CGuard stent

## CGuard™ OPTIMA – Protocol Synopsis

Primary aim	To evaluate the efficacy of CGuard™ MicroNET-covered embolic prevention stent system in high-risk carotid plaque sequestration in consecutive patients with atherosclerotic high-risk carotid artery stenosis by determining the incidence of plaque prolapse (on a per-patient and per [IVUS]-frame basis).
Secondary aims	To evaluate the endovascular reconstruction of the diseased artery segment by using the study device, assessing the internal (ICA) and common carotid artery (CCA) stent apposition, and the ICA minimal lumen area in relation to the ICA reference area.

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Condition or disease ⓘ	Intervention/treatment ⓘ
Carotid Artery Diseases	Diagnostic Test: Intravascular Ultrasound (IVUS) of Carotid Artery after implantation of CGuard stent

## CGuard™ OPTIMA – Protocol Synopsis

Study population	<p>Consecutive</p> <p>clinically-symptomatic patients (ipsilateral cerebral or retinal stroke or TIA with the preceding 6 months) with atherosclerotic <i>de novo</i> carotid artery stenosis causing at least 50% lumen reduction by quantitative carotid catheter angiography (QCA)</p> <p><i>or</i></p> <p>carotid stenosis of at least 50% lumen reduction by QCA in relation to documented ipsilateral ischaemic brain lesions/infarct on CT or MRI.</p>
Patient sample	<p>111 consecutive patients will be enrolled in at least 5 centres in the world.</p> <p>Maximal acceptable drop-out rate by 12 months is determined at 10% (11 subjects), leaving at least 100 subjects evaluable at 12 months.</p>

## Investigator-Initiated, academic, non-commercial study

Condition or disease ⓘ	Intervention/treatment ⓘ
Carotid Artery Diseases	Diagnostic Test: Intravascular Ultrasound (IVUS) of Carotid Artery after implantation of CGuard stent

### CGuard™ OPTIMA – Protocol Synopsis

Procedure	<p>Neuroprotected CAS as per the operator and center standard practice, consistent with the study device IFU, as per the center routine, with final result IVUS imaging added as the procedure quality control.</p> <p>The use of proximal neuroprotection such as MoMa 9F with transient flow reversal preferable to transient flow caessation is encouraged in this study increased-risk population.</p> <p>Vascular access choice is at the operator's discretion (femoral, radial, or trans-carotid).</p>
IVUS imaging	<p>Study device optimization is encouraged as in the PARADIGM study (Musialek 2016); large balloons/high pressures use for optimal endovascular reconstruction of the diseased artery segment.</p>

# Investigator-Initiated, academic, non-commercial study

Condition or disease ⓘ

Carotid Artery Diseases

Intervention/treatment ⓘ

Diagnostic Test: Intravascular Ultrasound (IVUS) of Carotid Artery after implantation of CGuard stent

## CGuard™ OPTIMA – Protocol Synopsis

Primary endpoint	Freedom from plaque prolapse defined as observation of plaque inside the stent lumen after completion of the CAS procedure by IVUS assessment (Kotsugi 2017).
Secondary endpoints	<ol style="list-style-type: none"> <li>1) Procedural success (stent delivery and implantation in absence of an intra-procedural clinical major adverse event, with no more than 30% residual diameter stenosis by on-site QCA, and successful withdrawal of the stent delivery and neuroprotection system).</li> <li>2) IVUS interrogation success (IVUS interrogation with an effective IVUS probe removal in absence of any clinical complications).</li> <li>3) Endovascular lumen reconstruction defined as freedom from plaque prolapse plus minimal in-stent area &gt;50% ICA reference area.</li> <li>4) Peri-procedural (up to 24h from intervention or discharge if discharge before 24h, whichever first) MACCE (death, stroke, MI).</li> <li>5) 30-day MACCE (death, stroke, MI).</li> <li>6) Any peri-procedural (up to 24h or discharge, whichever first) complications.</li> <li>7) Ipsilateral stroke between 31 days and 12 months after the procedure.</li> <li>8) Peak Systolic Velocity (PSV) and End Diastolic Velocity (EDV) recorded by Duplex Doppler at 30±5 days and 12±1 months after the procedure.</li> </ol> <p>NB. Neuro exam, including NIH-SS assessment, will be performed by a local neurologist or trained physician at 24-48h or discharge (whichever first), and at 30±5 days and 12±1 months.</p>



# CGuard™ OPTIMA – Participating Centres

<b>Alvarez</b> , Alejandro	IC	Bahia Blanca	Argentina
<b>Amor</b> , Max	IC	Nancy	France
<b>Karpenko</b> , Andrey	VS	Novosybirsk	Russia
<b>Klecha</b> , Artur / Kowalczyk ST	IC	Nowy Targ	Poland
<b>Micari</b> , Antonio / Castriota F	IC	Bergamo	Italy
<b>Miszczuk</b> , Jan	VS	Kielce	Poland
<b>Montorsi</b> , Piero	IC	Milan	Italy
<b>Musialek</b> , Piotr / Mazurek A	A/IC	Krakow	Poland (PI)
Trystula Mariusz	VS		
<b>Petrov</b> , Ivo	IC	Sofia	Bulgaria
<b>Ruffino</b> , Maria Antonella	IR	Torino	Italy
<b>Ruzsa</b> , Zoltan	IC	Budapest/Bacs-K	Hungary
<b>Saugnet</b> , Antoine / Honton B	IC	Toulouse	France
<b>Schmidt</b> , Andrej	A/IC	Leipzig	Germany
<b>Schofer</b> , Joachim	IC	Hamburg	Germany
<b>Setacci</b> , Carlo / De Donato G	VS	Siena	Italy
<b>Stabile</b> , Eugenio	IC	Naples	Italy
<b>Speziale</b> , F / Sirignano P	VS	Rome	Italy
<b>Wissgott</b> , Christian	IR	Rendsburg	Germany

**CONFIRMED CENTRES**

# CGuard™ OPTIMA – Participating Centres

**YOU ARE WELCOME TO JOIN**

[pmusialek@szpitaljp2.krakow.pl](mailto:pmusialek@szpitaljp2.krakow.pl)

[mazurekadam@yahoo.pl](mailto:mazurekadam@yahoo.pl)

**OPEN TO OTHER INVESTIGATORS & CENTRES**



CGuard

**OPTIMA**

# **CGuard OPTIMA**

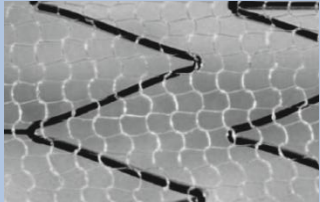


**FPI      07 JAN 2020**

**(Krakow, PL)**

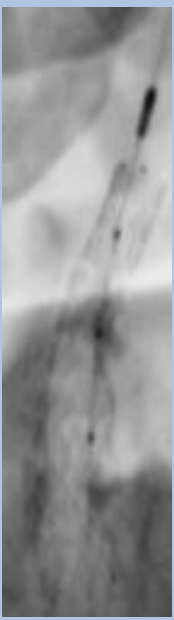
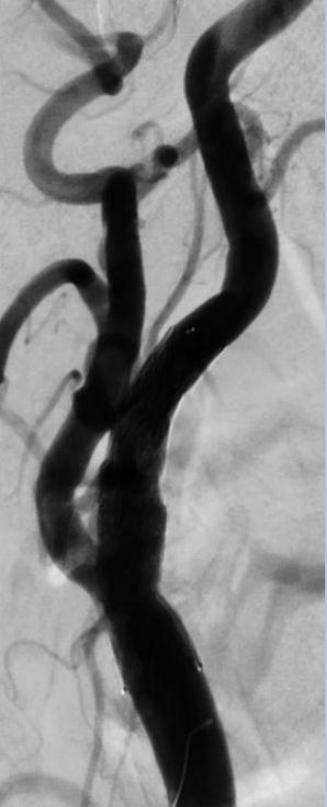
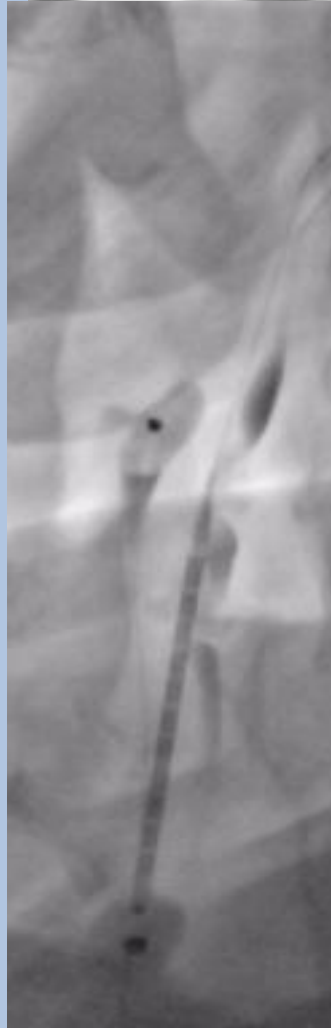
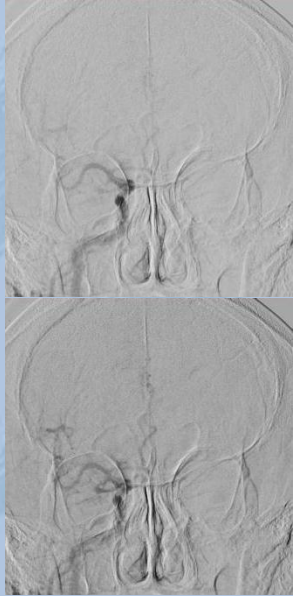
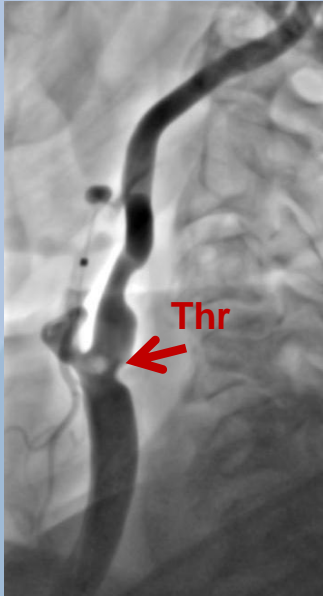


A 58-year-old man with recurrent amaurosis fugax progressing to acute, non-resolving total R eye blindness (retinal stroke)

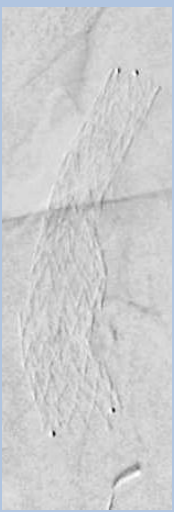
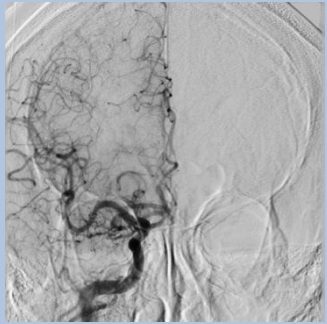


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JP II Hosp. / Krakow

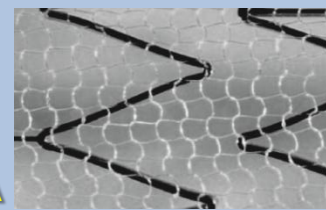


Lesion crossing and CGuard direct stenting under transient flow reversal using MoMa

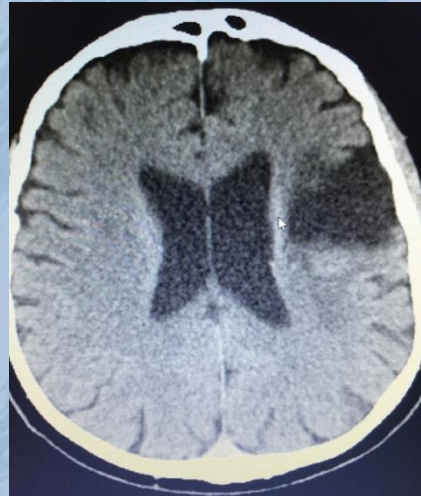
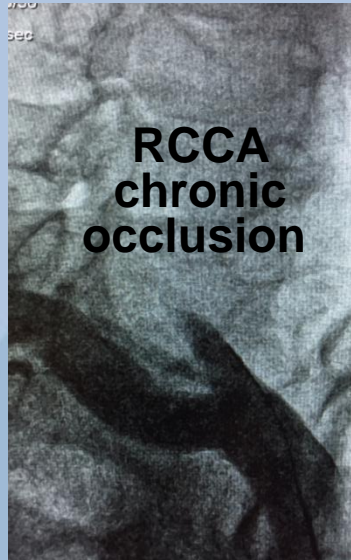


A 54-year-old man with chronic RICA occlusion, history of L-hemispheric stroke in association with (then judged) "insignificant" LICA stenosis... now in evolving *re*-stroke in absence of on-site vascular surgery

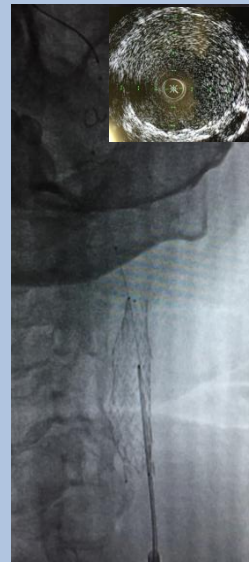
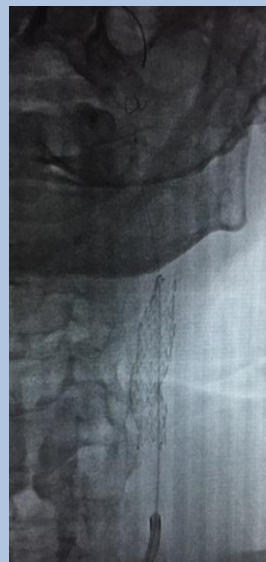
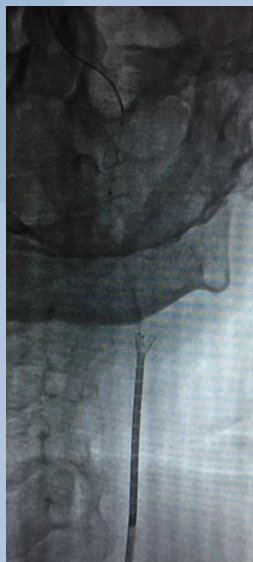
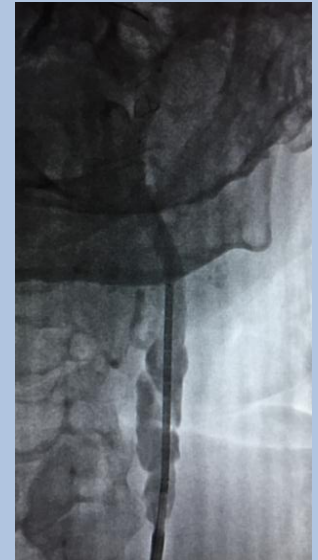
CGuard OPTIMA



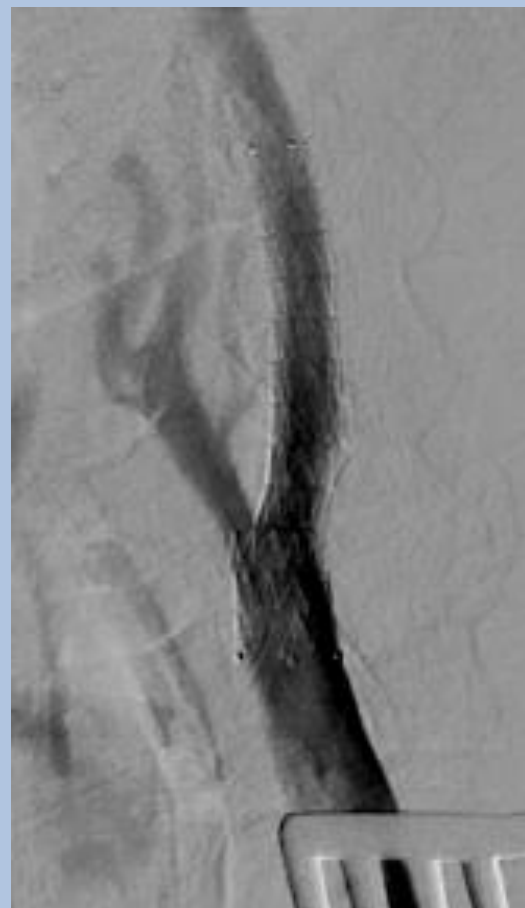
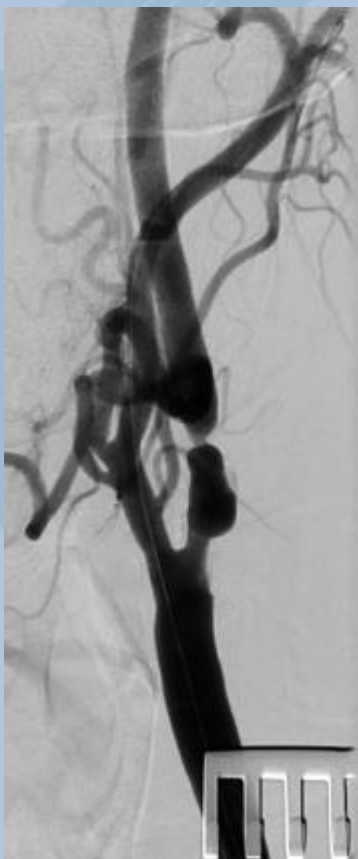
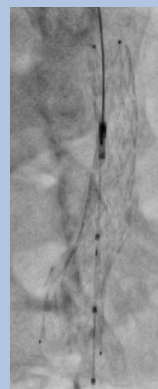
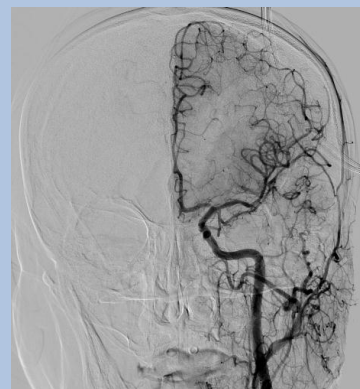
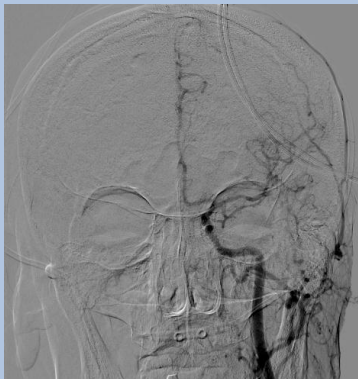
Regional Hosp. / N. Targ



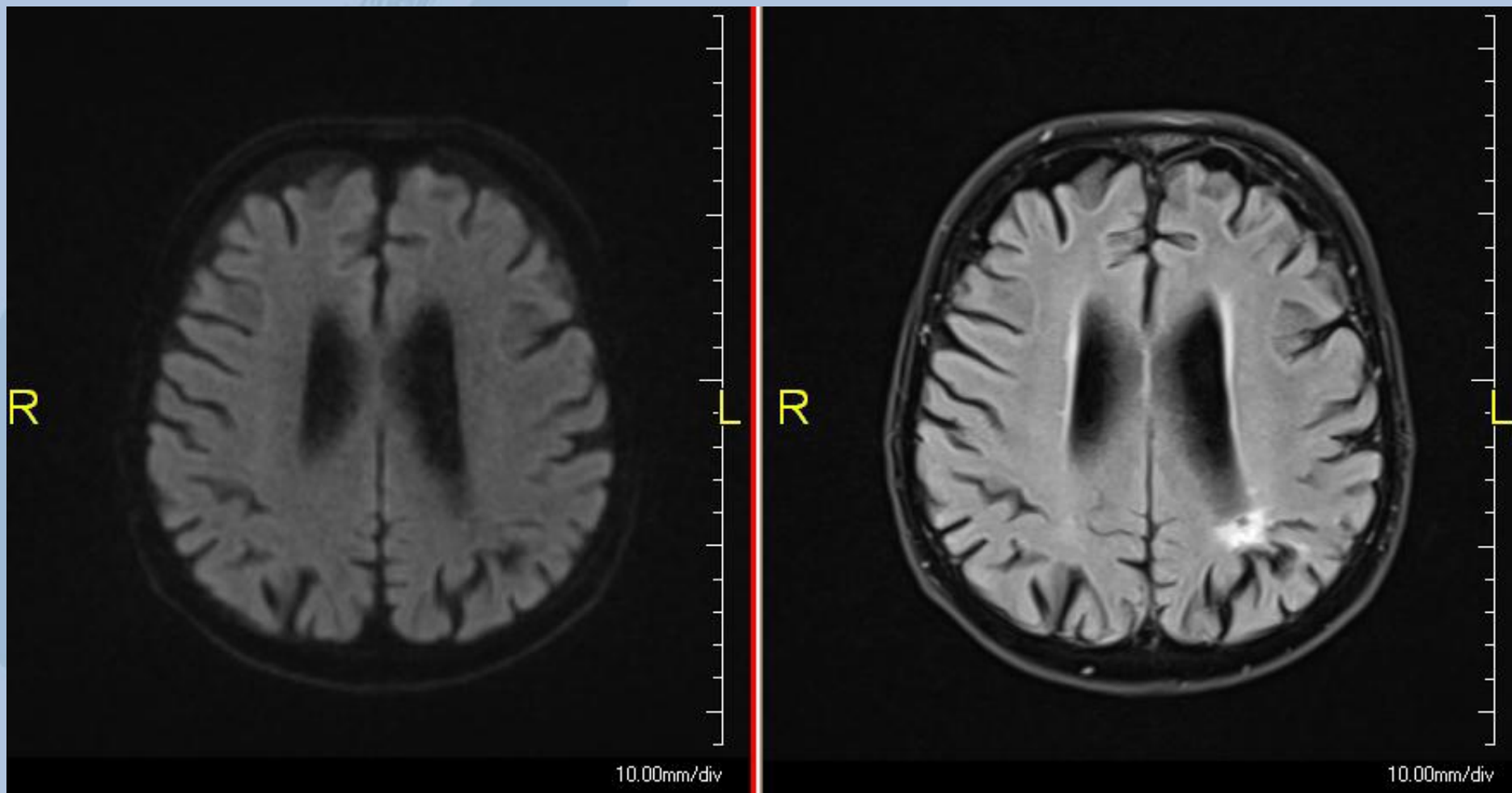
"old" left haemispheric stroke



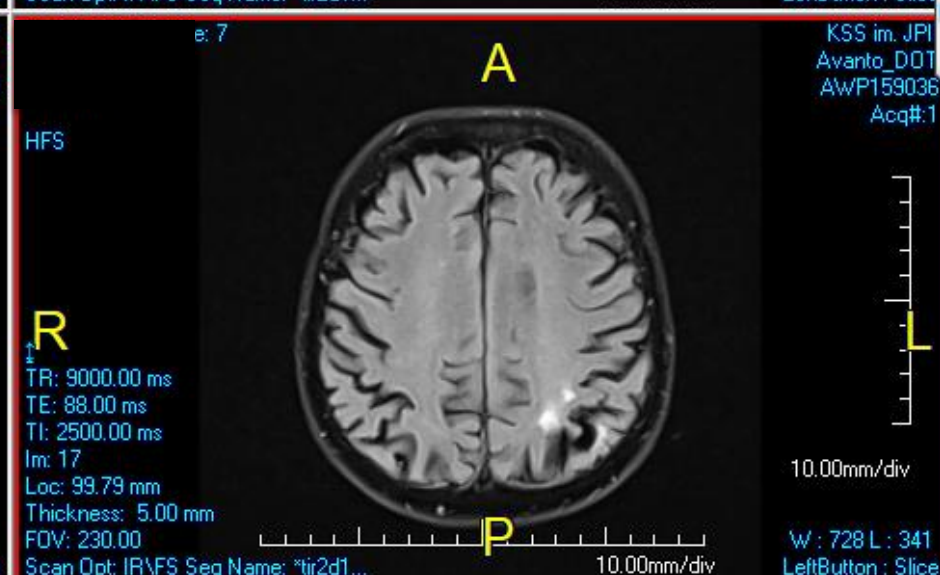
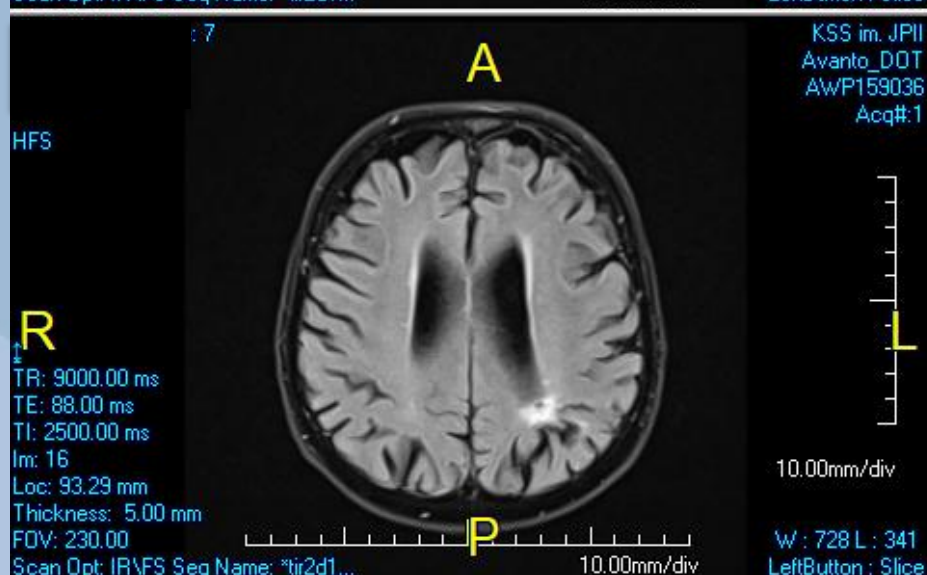
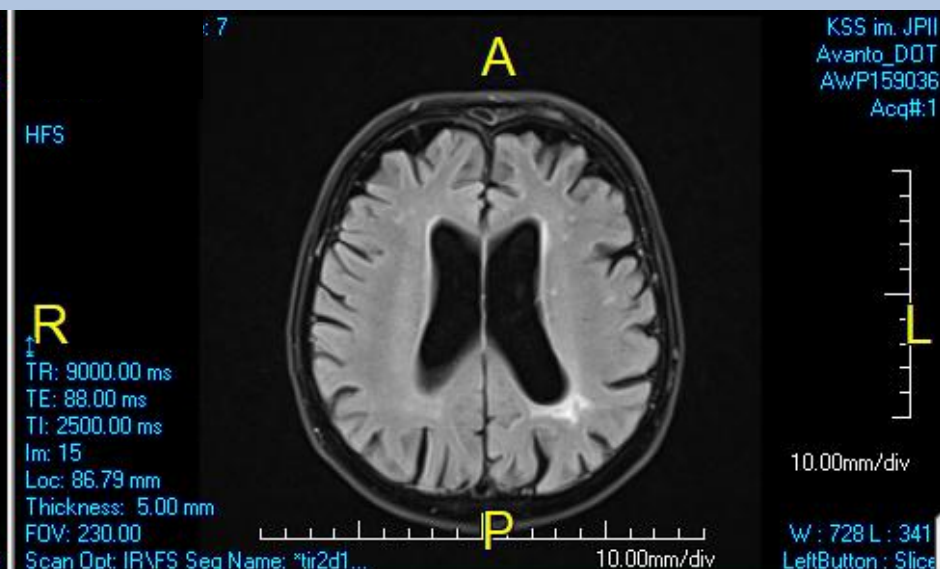
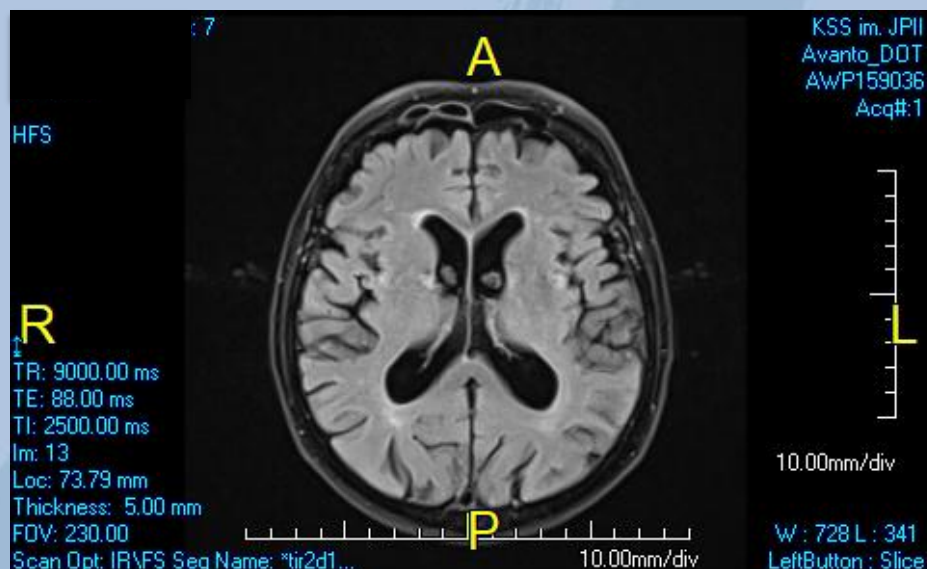




## Baseline MRI (morning of the procedure day)

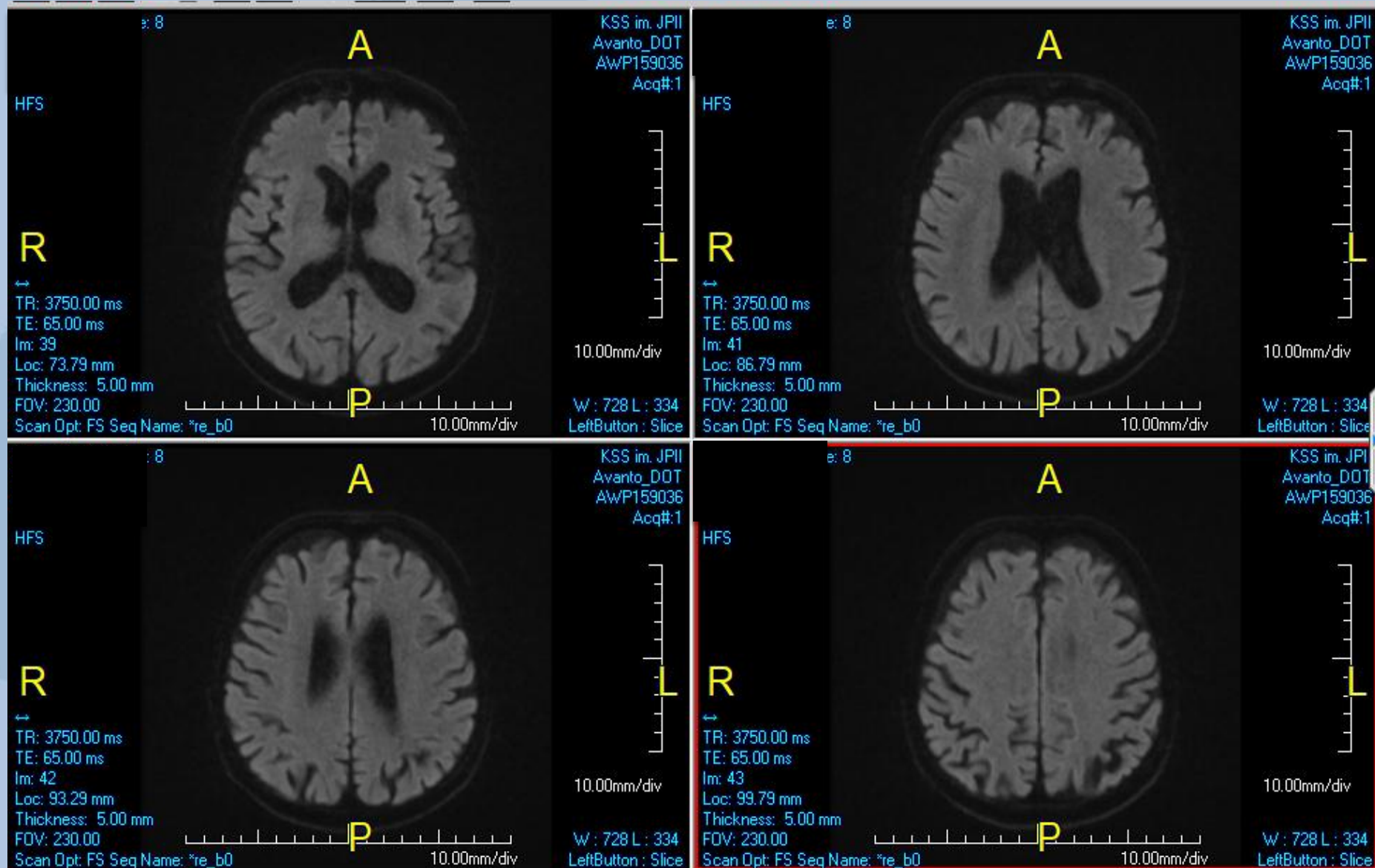


# FLAIR before



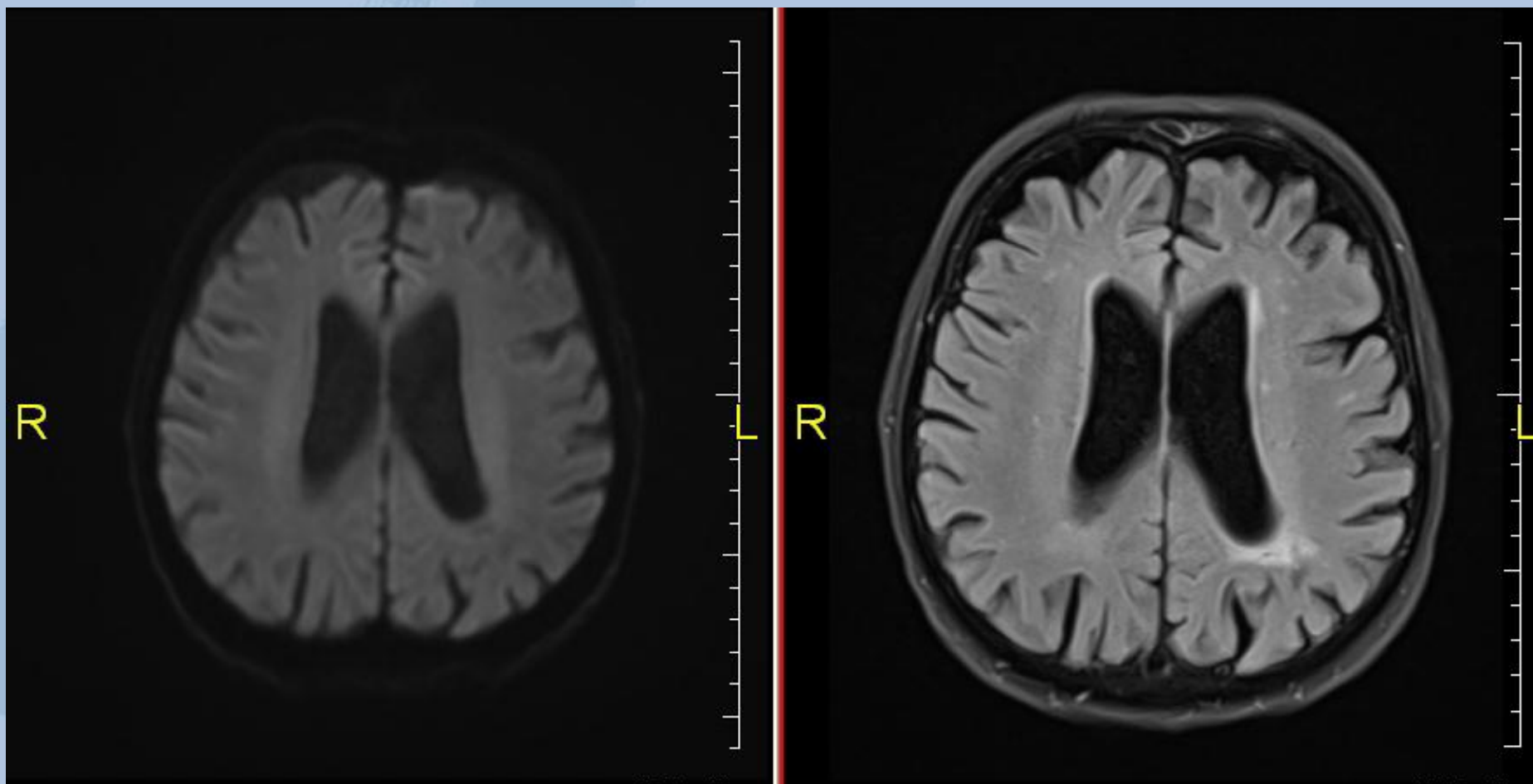


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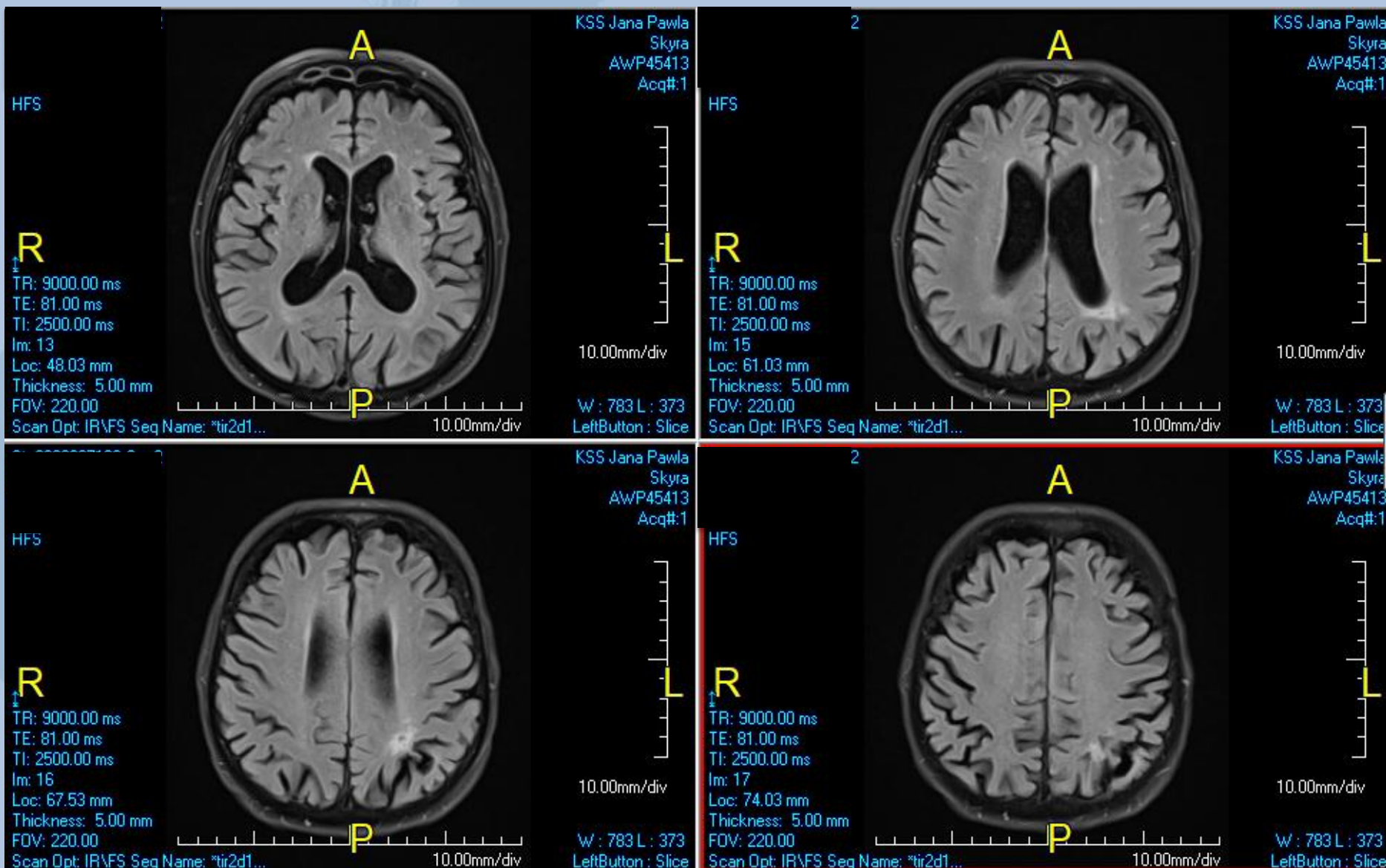
M Urbanczyk & RP Banyś, JP2 Hosp. Krakow

MRI @24h



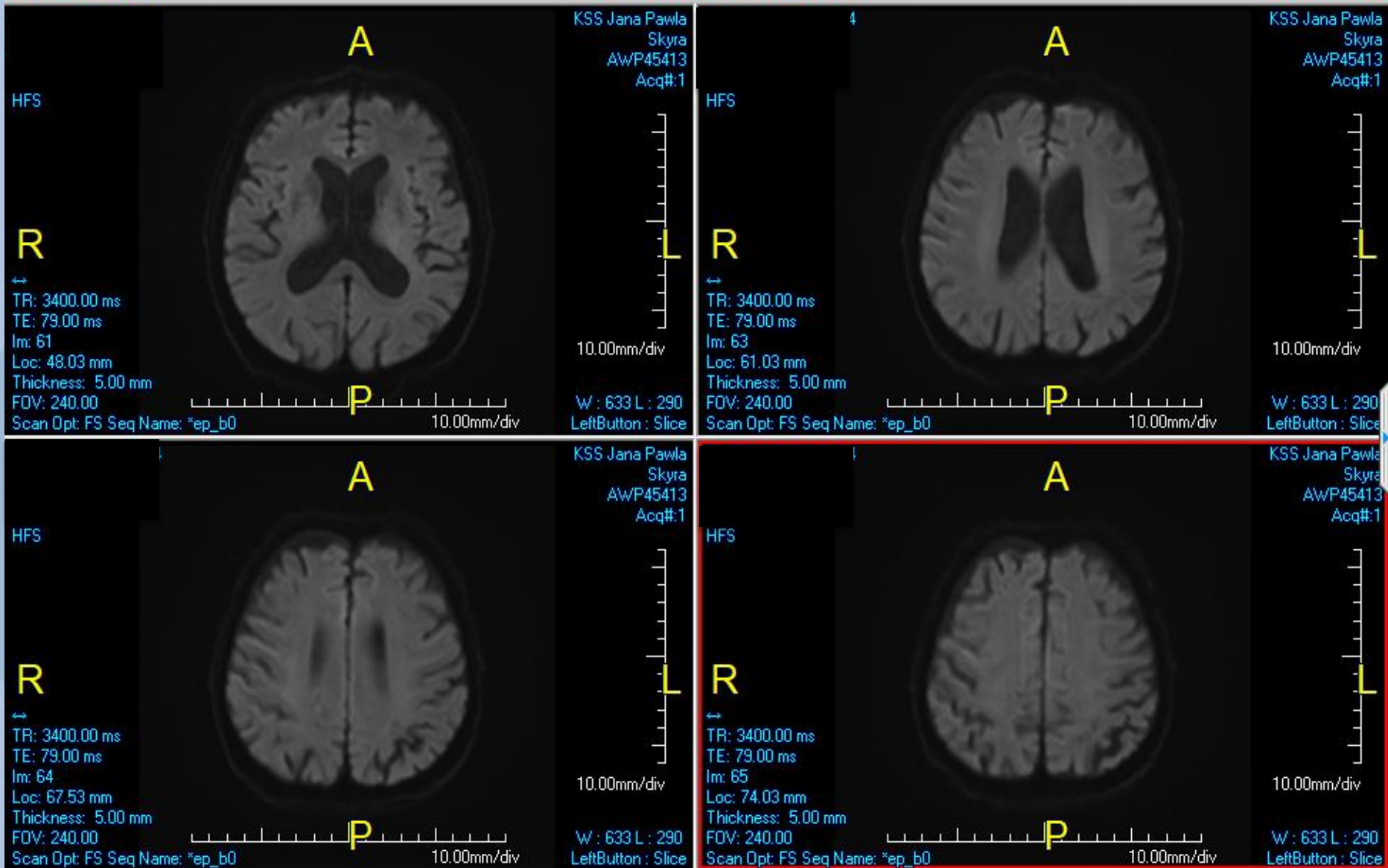
M Urbanczyk & RP Banys, JP2 Hosp. Krakow

# FLAIR @24h



M Urbanczyk & RP Banys, JP2 Hosp. Krakow

# DWI @24h



M Urbanczyk & RP Banys, JP2 Hosp. Krakow



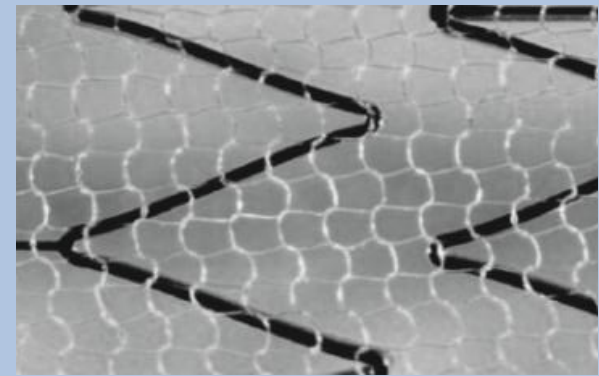






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